

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

UT-0033

inventors:

Mujtaba and Rao

Serial No.:

10/009,455

Filing Date:

November 7, 2001

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Title:

Lineage-Restricted Precursor Cells
Isolated From Mouse Neural Tube and

Mouse Embryonic Stem-Cells

, Kathleen A. Tyrrell, W. 1992, S. 188,350, S. 1992, S. 1

March 5, 2002

March

Assistant Commissioner for Patents Washington, DC 20231

Sir:

#### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.F. §1.56(b).

(XX) In adderdance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date or entry and

the national stade of the above identified application as set forth in \$1.441, or become the mailing date of a post-office Action on the merits of the above-identified application, no additional fee is required.

- ( ) In accordance with \$1.97(c), this Information list, case Statement is being filed after the period set forth in \$1.97(b) above but before the mailing date of either a Final Action under \$1.11; or a Norice of All wance online \$1.11, therefore:
  - ( ) Certification in Accordance with \$1.97(e) is set touth below; or
  - ( ) The fee of \$180.00 as set forth in \$1.17(p) is attached.
- ( ) In accordance with \$1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under \$1.113 or a Notice of Allowance under \$1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with \$1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in \$1.17(i)(1).
- (XX) Copies of each of the references listed on the attached Form PTO-1449 (modified) are enclosed herewith.
- ( ) In accordance with \$1.98(d), copies of some or all of the references listed on the attached Form PTO-1440 (mail:lod) are not enclosed herewith because they were proviously

submitted to the U.S. Patent and Trademark Office In prior application Serial No. \_\_\_\_\_\_, filed \_\_\_\_\_\_, for which a claim of priority under 35 U.S. Shar has been made in the instant application.

Flease charge any deficiency or result any everywhere the posit Account No.  $\mathbb{N}^2$ -1019. This form is submitted in Applicate.

- ( ) The relevance of the listed references in a foreign . a mage is as stated in the specification at pages 00.
- (XX) All listed references are in the English language.

Respectfully submitted,

Fathleen A. Tyrrell

Registration No. 38,350

Date: March 5, 2002

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Sheet 01 of 01

## Form PTO-1449 Modified

List of Patents and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office

Docket	No.
UT - 003	3

Serial No. 10/009,455

Applicant

Mujtaba and Rao

Filing Date Nov. 7, 2001

Group

Not Yet Assigned

#### U. S. PATENT DOCUMENTS

Examiner		Document	Date	Name	Class	Subclass
	AA	5,411,833	5-2-95	Boss et al.	435	240.2
	AB	5,688,692	11-18-97	Jat et al.	435	354
	AC	5,753,506	5-19-98	Johe	435	377
	AD	5,869,718	2-9-99	Julien et al.	800	2.

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation YES NO	

EXAMINER

DATE CONSIDERED